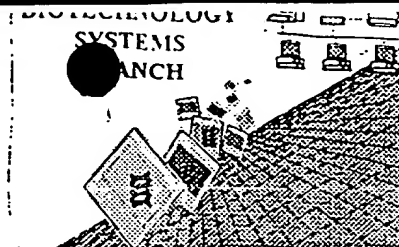


CRF Problem Report



The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

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TECH CENTER 1600/2900

Application Serial Number: C4/868,744A
Filing Date: 12/16/1999
Date Processed by STIC: 6/6/2002

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

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The CRF (was):

- ☐ (circle one) Damaged or Unreadable (for Unreadable, see attached)
☐ Blank (no files on CRF) (see attached)
☐ Empty file (filename present, but no bytes in file) (see attached)
☐ Virus-infected. Virus name: _____ The STIC will not process the CRF.
☐ Not saved in ASCII text
☐ Sequence Listing was embedded in the file. According to Sequence Rules,
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☒ Did not contain a Sequence Listing. (see attached sample)
☐ Other: _____

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SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

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2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
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Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002



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CPProject

CPProjectData "Genetic Modification of Compositae lettuce 021-2US 09/868,744 A)
2001-11-09 ARABIDOPSIS THALIANA/Synthetic primer with Bam HIII
restriction site. Synthetic primer with HindIII restriction
site

CDNASequence sequence identifier no. 1 ARABIDOPSIS
THALIANA~Qattatgatct caaatacatt gatacatatc tcattctagat ctagggttattc
attatgtaag 60
aaagttttga cgaatatggn acgacaaaat ggctacactc gatgtaattg gtatctcaac
120
tcaacattat acttatacca aacattagtt agcaaaattt aaacaactat ttttatgtat
180
gcaagagtca gcatatgtat aattgattca gaatcgtttt gacgagttcg gatgtagtag
240

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(sample of submitted file)

If PatentIn software was used to create this file,
please contact Robert Wax at 703-306-4119 or 703-308-4216,
for assistance

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE: Patent Application for Schepers : Date: July 31, 2002
Serial No.: 09/868,744 : Group No.: 1661
Filed: December 16, 1999 : Examiner: Shakeel Ahmed
For: Genetic Modification of :
Compositae :

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Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

1. This is in response to the USPTO Notification of Defective Response dated July 17, 2002, within the one-month deadline, which stated that the computer readable disk had been found to be damaged and/or unreadable.
2. Applicant hereby submits with the Notice of Defective Response:
 - A. Substitute "Sequence Listing(s)" for the nucleotide and/or amino acid sequence(s) in this application. Each "Sequence Listing" is assigned a separate identifier as required in 37 C.F.R. § 1.82(c) and 37 C.F.R. §§ 1.822 and 1.823.
 - B. Substitute copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 C.F.R. §§ 1.821(e) and 1.824.
 - C. A statement that the content of each "Sequence Listing" submitted and each computer readable copy are the same, as required in 37 C.F.R. § 1.821(g).
 - D. Because this submission is made in fulfilling the requirement under 37 C.F.R. § 1.821(g), a statement that the submission includes no new matter.



**STATEMENT THAT "SEQUENCE LISTING"
AND COMPUTER READABLE COPY ARE THE SAME
AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER**

3. I hereby state that each computer readable form submitted in this application is the same as the "Sequence Listing" to which it is indicated to relate, e.g. the information recorded in the computer readable form is identical to the written sequence listing.
4. All papers accompanying this submission introduce no new matter in the application submitted to the USPTO by the International Bureau.

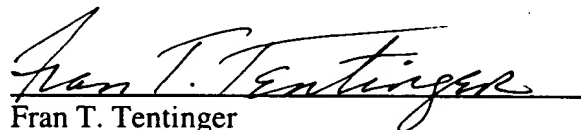
Respectfully submitted,



Dana Rewoldt, Reg. No. 33,762
Advanta USA, Inc.
2369 330th Street, Box 500
Slater, IA 50244
Tel: (515) 685-5201
Fax: (515) 685-5072

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the above Response to Notification of Defective Response, Diskette and Paper Copy Containing CRF of Sequence Listing, a copy of the Notice and attached postcard are being deposited in with the U.S. Postal Service as first-class mail in an envelope addressed to Assistant Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231, this 31st day of July, 2002.


Fran T. Tentinger